

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Abblicant:

Michael S.H. Chu et al.

erial No.:

09/430,050

Examiner: A. Lam

Filed:

October 29, 1999

Group Art Unit: 3763

For:

SPLIT VALVE FOR PEEL-AWAY SHEATH

Docket No.:

1001.1258101

## TRANSMITTAL SHEET

RECEIVED

Box Non-Fee Response Assistant Commissioner for Patents Washington, D.C. 20231

NOV 1 9 2002

**TECHNOLOGY CENTER R3700** 

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL811913685US, in an envelope addressed to: Box Non-Fee Response, Assistant Commissioner for Patents, Washington, D.C., 20231 on this 14th day of November, 2002.

By Kathlew L Bockley

Kathleen L. Bockley

We are transmitting herewith the attached:

[ XX ]

Response

[ XX ] No additional fee required

The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

[ <sup>3</sup> -	A check in the amount of \$ is enclosed. Itemization:  Fee Code \$  Fee Code \$
[]	Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
[]	Other:
[XXXX]	Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.  By: David M. Crompton
	Reg. No. 36,772

David M. Crompton CROMPTON, SEAGER & TUFTE, LLC 331 Second Avenue South, Suite 895 Minneapolis, Minnesota 55401-2246 Telephone: (612) 677-9050

Facsimile: (612) 359-9349





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael S.H. Chu et al.

Serial No.:

09/430,050

Examiner: Amy Y. Lam

Filed:

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#### **RESPONSE**

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Box Non-Fee Response, Assistant Commissioner for Patents, Washington, D.C., 20231 on this 14th day of November, 2002.

By Katales, Z Bockley

Kathleen L. Bockley

Dear Sir:

The following comments are presented in response to the Office Action mailed August 14, 2002. Favorable reconsideration is respectfully requested.

#### REMARKS

Applicants respectfully traverse the Examiner's rejection of claims 1-9, 11-15 and 21 under 35 U.S.C. § 102(e) as anticipated by Heck, U.S. Patent No. 6,083,207. In order to anticipate, the cited reference must disclose each and every element of the claimed invention. Heck fails to do so and thus cannot be considered as anticipating the claimed invention.

Claim 1 was previously amended to require the presence of a compressible valve sleeve that has a proximal end, a distal end and a lumen that is adapted to receive the distal end of a medical device such as a catheter. The proximal end of the compressible valve sleeve extends